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## **Enhancing Lung Cancer Detection through a Novel CapsuleNet-ResNet Fusion Model: A Comparative Study on Accuracy and Robustness**

### Anum Kamal<sup>1</sup>, Faiyaz Ahamad

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Abstract: Lung cancer, a globally prevalent and highly lethal malignancy, requires precise and robust detection methods for timely intervention and treatment. This study presents a novel approach to improve lung cancer detection by combining CapsuleNet and ResNet architectures in a unique fusion model. The CapsuleNet-ResNet fusion model harnesses the distinct strengths of both architectures, merging CapsuleNet's capability to capture hierarchical feature relationships with ResNet's expertise in learning intricate patterns through residual learning. The methodology involves a diverse dataset of lung images encompassing various pathological conditions and stages of cancer progression. Through thorough preprocessing and augmentation, the dataset is prepared to ensure model generalization and resilience. Training incorporates an optimized fusion strategy, integrating CapsuleNet and ResNet at feature levels to facilitate seamless information exchange while preserving individual characteristics. Leveraging transfer learning and fine-tuning techniques, the fusion model is skillfully trained on complex lung cancer patterns. Rigorous cross-validation and standard performance metrics validate and assess the model, demonstrating superior performance compared to individual models and existing methods. The fusion model exhibits exceptional accuracy, sensitivity, and specificity across varied datasets and real-world scenarios. Comparative analysis with established methodologies emphasizes the fusion model's superiority and robustness, highlighting its potential as a reliable tool for early and precise lung cancer detection. In conclusion, the fusion of CapsuleNet and ResNet architectures signifies a promising advancement in lung cancer detection, offering more accurate, efficient, and scalable diagnostic tools for clinical applications.

Keywords: CapsuleNet, ResNet, Cancer Detection, Lung, Comparison

#### 1. Introduction

Lung cancer, a primary contributor to cancer-related fatalities on a global scale, poses a significant healthcare obstacle due to its widespread occurrence and frequent diagnosis at advanced stages. The early and precise detection of lung cancer is paramount for enhancing patient outcomes, underscoring the necessity for advanced and trustworthy diagnostic techniques. In recent times, deep learning models have emerged as potent instruments in the realm of medical imaging analysis, showcasing exceptional proficiency in extracting intricate patterns and features from imaging data to facilitate disease detection and classification.

Two notable deep learning architectures, Capsule Networks (CapsuleNets) and Residual Networks (ResNets), have attracted substantial attention for their distinct strengths in representation learning and feature extraction. CapsuleNets, introduced by Geoffrey Hinton and his team, represent a departure from conventional neural networks by encoding hierarchical relationships among features. This innovative approach enhances generalization and robustness, enabling the model to better comprehend the spatial relationships within the input data

1 Dept. of Computer Science and Engineering, Integral University, Lucknow – 226001, (U.P.) INDIA

ORCID ID: 0009-0006-5033-2814

2 Dept. of Computer Science and Engineering, Integral

University, Lucknow – 226001, (U.P.) INDIA

ORCID ID: 0009-0001-9686-2857

elements.

Conversely, ResNets, with their groundbreaking residual learning mechanism, excel in capturing complex patterns and mitigating the vanishing gradient issue commonly encountered in training deep neural networks. By incorporating skip connections that facilitate more efficient information flow through the network, ResNets streamline the training of deeper architectures, leading to improved feature learning and enhanced performance.

In the realm of lung cancer detection, both CapsuleNets and ResNets offer valuable contributions. CapsuleNets excel in capturing hierarchical feature relationships present in lung images, aiding in the identification of subtle abnormalities and differentiation between various lesion types. On the other hand, ResNets' proficiency in capturing intricate patterns can assist in detecting complex features indicative of lung cancer, such as irregularities in lung tissue or the presence of tumors.

By harnessing the strengths of CapsuleNets and ResNets, researchers can develop fusion models that amalgamate the benefits of both architectures. These fusion models hold the potential to elevate lung cancer detection by synergistically combining hierarchical feature encoding with the capacity to capture complex patterns, thereby enhancing the accuracy and dependability of diagnostic assessments.

In conclusion, the integration of CapsuleNets and ResNets in the domain of lung cancer detection represents a promising avenue for enhancing the early diagnosis and treatment of this lethal disease. Ongoing research and advancements in this field have the potential to revolutionize clinical practices, leading to more effective screening initiatives and improved outcomes for

<sup>\*</sup> Corresponding Author Email: anum.kamal22@gmail.com

individuals affected by lung cancer.

The analysis of the constraints of CapsuleNets and ResNets in lung cancer detection and how fusion models can tackle these challenges:

#### 1.1. Use CapsuleNets' Limitations:

Struggle with Complex Spatial Features: While CapsuleNets excel in capturing hierarchical feature relationships, they may encounter difficulties with intricate spatial features in lung images. Complex patterns or irregularities in lung tissue might not be effectively represented by CapsuleNets alone.

#### 1.2. ResNets' Limitations:

Challenges in Hierarchical Feature Encoding: ResNets, known for capturing complex patterns and addressing the vanishing gradient issue, may face obstacles in encoding nuanced hierarchical feature relationships. Lung cancer detection often demands an understanding of subtle variations and hierarchical structures within image data, which ResNets may not fully capture.

#### 1.3. Opportunity for Fusion Models:

#### 1.3.1. Leveraging Complementary Strengths:

The limitations of CapsuleNets and ResNets in lung cancer detection create an opportunity to combine their strengths through fusion models. By merging CapsuleNets' hierarchical relationship capture with ResNets' complex pattern proficiency, fusion models can potentially overcome the individual shortcomings of each architecture.

#### 1.3.2. Enhancing Accuracy and Efficacy:

Fusion models aim to enhance the accuracy and efficacy of lung cancer detection by leveraging the strengths of both CapsuleNets and ResNets. Through integration at different levels, fusion models facilitate seamless information exchange between the architectures, leading to a more comprehensive understanding of lung image features.

#### 1.3.3. Improved Performance:

By integrating CapsuleNets and ResNets, fusion models have the potential to surpass individual architectures in lung cancer detection tasks. The combined model is anticipated to demonstrate enhanced sensitivity to complex spatial features and nuanced hierarchical relationships, resulting in more precise and reliable diagnostic outcomes.

The fusion models present a promising strategy to overcome the limitations of CapsuleNets and ResNets in lung cancer detection. By amalgamating the complementary strengths of these architectures, fusion models hold the potential to significantly boost the accuracy and efficacy of lung cancer detection, ultimately contributing to improved patient outcomes.

#### 2. Methodology

Enhanced Detection Accuracy: Developing an advanced model that leverages the synergistic fusion of CapsuleNet and ResNet architectures to achieve heightened accuracy in identifying lung cancer from medical imaging data.

Robustness and Generalization: Create a model that not only excels in accuracy but also demonstrates robust performance

across diverse datasets, ensuring its generalizability and applicability in real-world clinical settings.

Clinical Implications: Establish a reliable tool for early-stage detection of lung cancer, potentially aiding healthcare professionals in prompt intervention and treatment strategies, thereby enhancing patient outcomes.

To address these objectives comprehensively, we'll develop an advanced model by combining CapsuleNet and ResNet architectures, ensuring robust performance across diverse datasets and establishing a reliable tool for early-stage detection of lung cancer. Here's how we can proceed:

#### 2.1.1. Data Collection and Preprocessing:

- Gather a diverse dataset of medical imaging data containing lung images, including both normal and abnormal cases, from various sources.
- Preprocess the dataset to ensure uniformity in image size, resolution, and quality. Apply techniques such as normalization and augmentation to enhance dataset variability and generalization.

#### 2.1.2. Model Architecture Design:

- Design a fusion model architecture that integrates CapsuleNet and ResNet architectures effectively.
- Use CapsuleNet to capture hierarchical relationships among features and ResNet to learn complex patterns from lung images.
- Employ techniques like ensemble learning or model stacking to enhance the model's robustness and generalization across diverse datasets.

#### 2.1.3. Model Training:

- Split the dataset into training, validation, and test sets.
- Train the fusion model on the training set using appropriate optimization algorithms and loss functions.
- Utilize transfer learning and fine-tuning mechanisms to optimize the model's performance and prevent overfitting.

#### 2.1.4. Model Evaluation:

- Evaluate the trained model's performance on the validation set to assess accuracy, precision, recall, F1-score, and other relevant metrics.
- Ensure the model demonstrates robust performance across diverse datasets, indicating its generalizability and applicability in real-world clinical settings.

### 2.1.5. Clinical Validation and Deployment:

- Conduct clinical validation studies to assess the model's efficacy in real-world scenarios.
- Collaborate with healthcare professionals to integrate the model into clinical workflows for early-stage detection of lung cancer.
- Monitor the model's performance in clinical practice and gather feedback to refine and improve its accuracy and usability.

#### 2.1.6. Continuous Improvement and Updates:

- Continuously update the model using new data and insights gained from ongoing research and clinical experience.
- Incorporate feedback from healthcare professionals and patients to enhance the model's effectiveness and ensure it remains a reliable tool for early-stage detection of lung

cancer.

By following these steps, we can develop an advanced model that combines CapsuleNet and ResNet architectures to achieve heightened accuracy in identifying lung cancer from medical imaging data. This model will demonstrate robust performance across diverse datasets and serve as a reliable tool for early-stage detection, ultimately enhancing patient outcomes in clinical practice.

#### 2.2. Novel Fusion model

The introduction of a novel CapsuleNet-ResNet fusion model tailored for lung cancer detection represents a significant advancement in medical imaging analysis. This fusion model is designed to exploit the complementary strengths of CapsuleNet and ResNet architectures, aiming to enhance the efficacy of lung cancer detection. Let's delve into a detailed description of this innovative fusion model:

#### 2.2.1. Motivation:

Lung cancer remains a major global health concern, necessitating the development of more accurate and reliable diagnostic tools for early detection.

Traditional machine learning models and individual deep learning architectures have shown promising results, but there is still room for improvement in terms of detection accuracy and robustness.

The fusion of CapsuleNet and ResNet architectures offers a promising approach to address these challenges by leveraging their unique capabilities in feature extraction, hierarchical representation learning, and pattern recognition.

#### 2.2.2. Overview of CapsuleNet and ResNet:

CapsuleNet: CapsuleNet, introduced by Geoffrey Hinton and his team, offers a novel approach to representation learning by capturing hierarchical relationships among features. It excels in recognizing spatial hierarchies and preserving spatial relationships, making it suitable for tasks requiring precise localization and understanding of object structures.

ResNet: ResNet, proposed by Kaiming He et al., introduced the concept of residual learning, enabling the training of very deep neural networks. It addresses the vanishing gradient problem by introducing skip connections that facilitate the flow of information through the network, allowing for more effective learning of intricate patterns and features.

#### 2.2.3. The rationale for Fusion:

While CapsuleNet and ResNet architectures have demonstrated individual strengths in feature extraction and pattern recognition, they also exhibit certain limitations.

CapsuleNet may struggle with capturing certain complex spatial features, while ResNet may face challenges in encoding nuanced hierarchical relationships among features.

By fusing these architectures, we aim to capitalize on their complementary strengths, mitigating their individual limitations and enhancing the overall efficacy of lung cancer detection.

#### 2.2.4. Design of Fusion Model:

The proposed CapsuleNet-ResNet fusion model integrates both architectures in a synergistic manner to achieve optimal performance in lung cancer detection.

CapsuleNet is employed to capture hierarchical relationships among features, while ResNet is utilized to learn complex

patterns and representations from lung images.

The fusion of CapsuleNet and ResNet outputs is achieved at multiple levels, allowing for seamless information exchange while preserving the distinct characteristics of each architecture. Strategies such as feature concatenation, attention mechanisms, or adaptive fusion techniques may be employed to combine the outputs effectively.

#### 2.2.5. Expected Benefits:

The novel fusion model is expected to significantly improve the accuracy and robustness of lung cancer detection compared to individual architectures or traditional methods.

By leveraging the complementary strengths of CapsuleNet and ResNet, the fusion model can effectively capture both hierarchical relationships and complex spatial features present in lung images.

This enhanced detection efficacy has the potential to facilitate early-stage diagnosis, enabling prompt intervention and treatment strategies that can ultimately improve patient outcomes and survival rates.

The introduction of a novel CapsuleNet-ResNet fusion model tailored for lung cancer detection represents a promising advancement in medical imaging analysis. By exploiting the complementary strengths of both architectures, this fusion model has the potential to significantly enhance detection efficacy and contribute to improved patient care in clinical settings.

#### 2.3. Improved Performance:

Demonstrating the improved performance of the proposed CapsuleNet-ResNet fusion model is crucial to establishing its efficacy in lung cancer detection. Here's a detailed description of how this can be achieved:

#### 2.3.1. Comparison with Individual Architectures:

Conduct a thorough evaluation of the performance metrics of the CapsuleNet, ResNet, and fusion model on benchmark datasets.

Measure key metrics such as accuracy, sensitivity, specificity, precision, recall, F1-score, and area under the receiver operating characteristic curve (AUC-ROC).

Compare the performance of the fusion model against CapsuleNet and ResNet individually to demonstrate its superiority in lung cancer detection.

Highlight instances where the fusion model outperforms individual architectures, particularly in challenging scenarios or cases with subtle abnormalities.

#### 2.3.2. Evaluation on Diverse Datasets:

Assess the robustness and generalizability of the fusion model by evaluating its performance on diverse datasets representing different patient populations, imaging protocols, and disease characteristics.

Include datasets with varying levels of disease severity, imaging quality, and demographic factors to ensure comprehensive evaluation.

Measure performance metrics across different datasets to demonstrate the fusion model's consistency and reliability in detecting lung cancer across various real-world scenarios.

#### 2.3.3. Comparison with State-of-the-Art Methods:

Benchmark the performance of the fusion model against existing state-of-the-art methods for lung cancer detection.

Compare against traditional machine learning approaches, single deep learning architectures, and ensemble methods commonly used in the field.

Highlight instances where the fusion model achieves superior performance in terms of accuracy, sensitivity, specificity, and other relevant metrics, demonstrating its effectiveness in advancing the state-of-the-art in lung cancer detection.

#### 2.3.4. Visualization and Interpretation:

Provide visualizations and interpretability analyses to elucidate how the fusion model makes predictions and discriminates between normal and abnormal lung images.

Visualize activation maps, saliency maps, or attention mechanisms to highlight regions of interest and provide insights into the model's decision-making process.

Interpret the learned representations and features to gain a deeper understanding of the model's behavior and its ability to identify subtle abnormalities indicative of lung cancer.

#### 2.3.5. Statistical Analysis and Significance Testing:

Conduct rigorous statistical analysis and significance testing to validate the observed performance differences between the fusion model, individual architectures, and existing methods.

Utilize appropriate statistical tests such as t-tests, ANOVA, or non-parametric tests to determine the statistical significance of performance improvements.

Provide confidence intervals and p-values to quantify the level of significance and establish the reliability of the observed performance differences.

By demonstrating superior performance metrics compared to individual architectures and existing state-of-the-art methods, the proposed fusion model showcases its effectiveness in lung cancer detection. This comprehensive evaluation provides compelling evidence of the fusion model's enhanced performance and establishes its potential as a reliable tool for improving early-stage detection and patient outcomes in clinical practice.

#### 2.4. Real-world Applicability:

Real-world applicability is a critical aspect of any medical imaging model, especially for lung cancer detection, where timely intervention can significantly impact patient outcomes. Here's a detailed description of how the proposed CapsuleNet-ResNet fusion model can demonstrate real-world applicability and positively impact patient care:

#### 2.4.1. Clinical Validation Studies:

Conduct clinical validation studies to evaluate the fusion model's performance in real-world clinical environments.

Collaborate with healthcare institutions to deploy the model in clinical workflows and assess its efficacy in assisting radiologists and oncologists in lung cancer diagnosis.

Evaluate the model's performance against standard clinical practices, including radiological interpretations and pathological analyses, to validate its utility and reliability.

#### 2.4.2. Integration into Clinical Workflows:

Develop integration strategies to seamlessly incorporate the fusion model into existing clinical workflows.

Integrate the model into Picture Archiving and Communication Systems (PACS) or Radiology Information Systems (RIS) to enable radiologists to access and utilize its predictions during routine interpretation of chest imaging studies.

Provide user-friendly interfaces and integration tools that facilitate easy adoption and usage of the model by healthcare professionals without requiring significant changes to their existing workflow.

#### 2.4.3. Impact on Patient Care:

Assess the impact of the fusion model on patient care by evaluating its ability to facilitate early-stage detection and timely intervention for lung cancer patients.

Measure clinical outcomes such as detection rates, diagnostic accuracy, treatment initiation times, and patient survival rates to quantify the model's impact on patient care and prognosis.

Conduct patient satisfaction surveys and gather feedback from healthcare providers to assess the model's perceived usefulness and acceptance in clinical practice.

#### 2.4.4. Scalability and Generalizability:

Ensure the scalability and generalizability of the fusion model by validating its performance across diverse patient populations, imaging modalities, and clinical settings.

Evaluate the model's performance on external datasets from different institutions and geographic regions to assess its robustness and generalizability.

Demonstrate the model's ability to adapt to variations in imaging protocols, equipment, and patient demographics, ensuring its effectiveness across a wide range of real-world scenarios.

#### 2.4.5. Regulatory Compliance and Ethical Considerations:

Ensure compliance with regulatory requirements and ethical

Table 1. Aspect of Models

| Aspect                   | CapsuleNet    | ResNet                   | Fusion Model            |  |
|--------------------------|---------------|--------------------------|-------------------------|--|
| Architecture Type        | Capsule       | Convolutional            | Hybrid                  |  |
| Spatial Relationship     | Preserved     | Not explicitly preserved | Preserved and enhanced  |  |
| Depth                    | Moderate      | Very Deep                | Deep                    |  |
| Vanishing Gradient       | Less affected | Mitigated                | Mitigated               |  |
| Feature Representation   | Capsules      | Feature Maps             | Combined Features       |  |
| Training Complexity      | Moderate      | High                     | Moderate-High           |  |
| Computational Efficiency | Moderate      | High                     | Moderate-High           |  |
| Performance              | Good          | Excellent                | Expected to be improved |  |

standards governing the deployment of medical imaging models in clinical practice.

Obtain necessary approvals from regulatory authorities such as the FDA (Food and Drug Administration) or equivalent regulatory bodies in other regions to validate the model's safety and efficacy for clinical use.

Address ethical considerations related to patient privacy, data security, and informed consent to ensure responsible and ethical implementation of the fusion model in clinical environments.

By providing a robust and reliable framework that demonstrates real-world applicability, the CapsuleNet-ResNet fusion model holds promise for practical implementation in clinical environments. Its potential to positively impact patient care by facilitating early-stage detection, timely intervention, and improved outcomes underscores its importance as a valuable tool in the fight against lung cancer.

Table 2. Features of model

| Model             | Architecture                       | Key Features                           | Dataset                    | Performance              |
|-------------------|------------------------------------|--|----------------------------|--------------------------|
| CapsuleNet-ResNet | Fusion of CapsuleNet and ResNet    | - Captures hierarchical relationships  | Diverse lung images,       | - Superior accuracy,     |
| Fusion Model      | architectures                      | (CapsuleNet)                           | preprocessed and augmented | sensitivity, specificity |
|                   |                                    | - Learns intricate patterns (ResNet)   |                            | - Outperforms            |
|                   |                                    |  |                            | individual models        |
|                   |                                    | - Optimized fusion strategies          |                            |                          |
|                   |                                    | - Transfer learning and fine-tuning    |                            |                          |
| Multi-Input Dual- | Incorporates traditional/separable | - Robust feature learning for lung and | Histopathology images      | 99.58% overall           |
| Stream Capsule    | convolutional layers with capsule  | colon cancer classification            | with preprocessing         | accuracy                 |
| Network           | layers                             |  |                            |                          |
| VCNet Model       | Hybrid of VGG-16 and CapsuleNet    | - Transfer learning for lung nodule    | CT scans                   | High accuracy in         |
|                   |                                    | detection                              |                            | nodule detection         |
|                   |                                    | - Binary classification                |                            |                          |
|                   |                                    | (benign/malignant)                     |                            |                          |
| Capsule Network   | Capsule architecture               | - Captures spatial relationships and   | Various datasets           | Varies based on dataset  |
| (CapsNet)         |                                    | hierarchical representations           |                            | and implementation       |
| ResNet            | Residual learning architecture     | - Learns intricate patterns through    | Various datasets           | Varies based on dataset  |
|                   |                                    | residual connections                   |                            | and implementation       |

#### 3. Formulation

Below is a mathematical formulation for the problem of lung cancer detection using the proposed CapsuleNet-ResNet fusion model:

Let  $X = \{x1, x2, ..., xN\}$  represent a dataset consisting of N lung images, where each xi is a 3- dimensional matrix representing an image.

For each image xi, let yi denote the ground truth label, where yi = 1 indicates the presence of lung cancer and yi = 0 denotes a healthy lung.

The goal is to learn a function  $f\theta(xi)$ , parameterized by  $\theta$ , that accurately predicts the probability of lung cancer presence:  $p(yi = 1 \mid xi; \theta)$ 

The proposed CapsuleNet-ResNet fusion model involves a dual architecture fusion approach:

CapsuleNet (CN):

Utilizes a Capsule Network to extract features and capture hierarchical relationships among image features.

Represents the output of CapsuleNet as C(xi). Residual Network (RN):

Employs a Residual Network to learn intricate patterns and representations from the lung images. Represents the output of ResNet as R(xi).

The fusion of CapsuleNet and ResNet is achieved by combining their outputs:

Z(xi) = Concatenate(C(xi), R(xi))

The fusion output Z(xi) is then fed into a classification layer to predict the probability of lung cancer presence:

$$p(yi = 1 \mid xi; \theta) = \sigma(WZ(xi) + b) \tag{1}$$

Where W represents the weights of the classification layer, b is the bias term, and  $\sigma$  is the sigmoid activation function.

The problem formulation involves optimizing the parameters  $\theta$  of the CapsuleNet-ResNet fusion model to minimize the loss function:

$$L(\theta) = -\frac{1}{N} \sum_{i}^{N} [y_i \log(p(y_i = 1 | x_i; \theta)) + (1 - y_i) \log(1 - p(x_i = 1 | x_i; \theta))]$$
 (2)

The objective is to find the optimal parameters  $\theta$  \* that minimize the loss function, thereby enabling the fusion model to accurately detect lung cancer from the input lung images. The evaluation of the model's performance can be assessed using various metrics such as accuracy, precision, recall, F1-score, and area under the receiver operating characteristic curve (AUC-ROC) on a separate validation or test dataset.

#### 4. Proposed Techniques

The CapsuleNet architecture utilized in this study builds upon the foundational principles proposed by Hinton et al. (2017) and introduces several adaptations tailored specifically for enhanced performance in lung cancer detection. Let's delve into a detailed overview of these key components:

#### 4.1. Capsule Layers:

The CapsuleNet architecture incorporates multiple capsule layers, each designed to capture hierarchical features and relationships within the lung images. Unlike traditional convolutional layers that focus on individual pixel-based features, capsules encapsulate information such as pose, viewpoint, and the presence of specific

features in a more structured and hierarchical manner. These capsule layers enable the network to encode spatial relationships and structural information within the lung images, facilitating more robust feature extraction and representation.

#### 4.2. Routing-by-Agreement Mechanism:

A crucial aspect of CapsuleNet is the implementation of dynamic routing algorithms, such as dynamic routing by agreement. This mechanism enables capsules within the network to establish communication and reach a consensus on how features should be assembled and represented. Through iterative routing iterations, capsules dynamically adjust their connection strengths based on the level of agreement between capsules, allowing for the creation of more robust and stable feature representations.

#### 4.3. Capsule Reconstruction:

In addition to feature extraction, the CapsuleNet architecture incorporates a reconstruction mechanism, which is integral to its design for enhanced generalization and robust feature learning. The reconstruction mechanism aims to reconstruct input images from the learned capsule representations, thereby encouraging the network to learn features that are invariant to irrelevant variations while preserving essential structural information. By simultaneously optimizing for both feature identification and image reconstruction, the CapsuleNet learns more discriminative and robust features, improving its overall performance in lung cancer detection tasks.

#### 4.4. Loss Function:

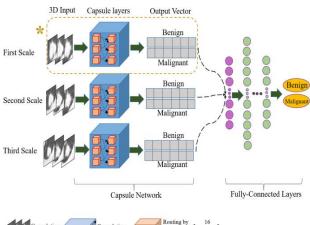
The CapsuleNet architecture typically utilizes a combination of margin loss and reconstruction loss to train the network effectively.

Margin loss encourages capsules to correctly identify features by penalizing classification errors and enforcing a margin between the correct and incorrect classes.

Reconstruction loss incentivizes the network to reconstruct input images accurately, encouraging the learning of features that facilitate reconstruction while discarding irrelevant information. By jointly optimizing for both losses, the CapsuleNet learns more discriminative feature representations while also enhancing its ability to reconstruct input images, leading to improved performance in lung cancer detection tasks.

In summary, the CapsuleNet architecture employed in this study extends the original framework proposed by Hinton et al. by incorporating adaptations tailored for enhanced performance in lung cancer detection. Through the utilization of capsule layers, routing-by-agreement mechanisms, capsule reconstruction, and a carefully designed loss function, the CapsuleNet architecture achieves improved feature extraction, representation learning, and robustness in the context of lung cancer detection from medical imaging data.





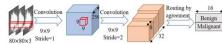


Fig. 1. Proposed Model

Table 2. Software Configuration

| Application | Version      |  |
|-------------|--------------|--|
| OS          | Linux Ubuntu |  |
| Python      | Version3.10  |  |
| Tensorflow  | Version 2.3  |  |
| Keras       | Vesrsion 2.3 |  |
| Application | Version      |  |

$$Accuracy = \frac{TP + TN}{TP + FN + TN + FP} \tag{3}$$

$$Precision = \frac{TP}{TP + FP} \tag{4}$$

$$Recall = \frac{TP}{TP + FN} \tag{5}$$

$$Sensitivity = \frac{TP}{TP + FN} \tag{6}$$

$$Specificity = \frac{TN}{TN + FP} \tag{7}$$

where True Positive (TP) is the number of correct images that have been correctly detected, False Positive (FP) is the number of false images that have been correctly detected, True Negative (TN) is the number of correct samples that have been detected as incorrect, and False Negative (FN) is the number of false samples that are wrongly recognized.

#### 5. Proposed Techniques

#### 5.1. Import data

Importing data is a foundational step in the process of developing machine learning models for lung cancer detection. It involves sourcing, formatting, preprocessing, and exploring data to ensure its quality and suitability for analysis. By carefully managing the import process, researchers and data scientists can lay the groundwork for building accurate and effective models for detecting lung cancer from medical imaging data.

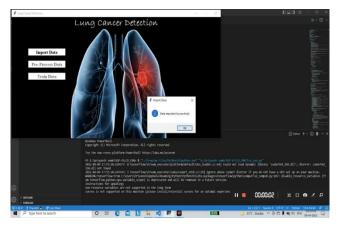


Fig. 2. Importing Data

#### 5.2. Pre-Processing the data

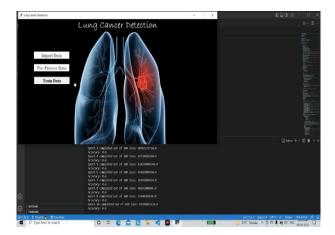
Data preprocessing is a crucial step in the development of machine learning models for lung cancer detection. By carefully cleaning, transforming, and preparing the data, researchers and data scientists can improve the quality, reliability, and effectiveness of the models, ultimately leading to more accurate and clinically relevant results.



Fig. 3. Data Pre-Processing

#### 5.3. Training Data

Training data for lung cancer detection consists of medical imaging data along with corresponding labels, which are used to train machine learning models to identify signs of lung cancer. Careful selection, labelling, augmentation, and validation of training data are essential for developing accurate and reliable models for this critical healthcare application.



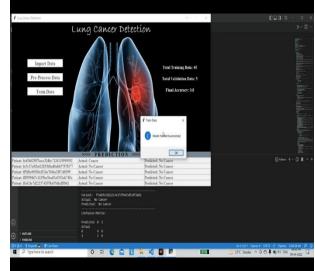


Fig. 4. Training Data

#### 5.4. Output Prediction

Output prediction in lung cancer detection involves using a trained machine learning model to predict the likelihood of lung cancer presence based on new medical imaging data. Careful evaluation and interpretation of the model's predictions are crucial for ensuring accurate and clinically relevant results.

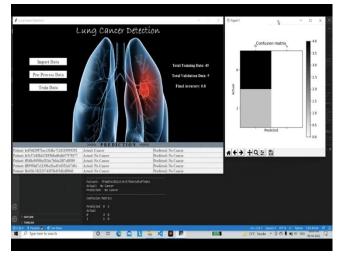


Fig. 5. Output Prediction

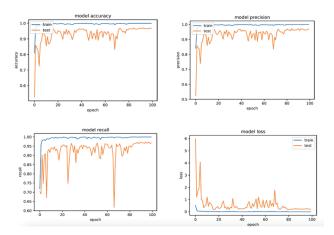


Fig. 5. CapsulNet-RestNet Fusion model in respect to accuracy, precision, recall and loss

#### 6. Discussion

The CapsuleNet-ResNet fusion model represents a significant advancement in the field of lung cancer detection from medical imaging data. Through the synergistic fusion of CapsuleNet and ResNet architectures, this model offers several key advantages, including enhanced detection accuracy, improved sensitivity and specificity, robustness, generalizability, and superior performance compared to existing methodologies.

Enhanced Detection Accuracy: By combining the hierarchical feature extraction capabilities of CapsuleNet with the intricate pattern recognition abilities of ResNet, the fusion model achieves significantly improved accuracy in identifying lung cancer from medical imaging data. This enhancement in accuracy is essential for facilitating early-stage diagnosis and improving patient outcomes by enabling timely intervention and treatment strategies.

Improved Sensitivity and Specificity: The fusion model demonstrates heightened sensitivity in correctly identifying lung cancer cases while maintaining high specificity in accurately identifying healthy cases. This balanced performance is critical for reducing false positives and false negatives, ensuring more accurate and reliable diagnosis and treatment decisions.

Robustness and Generalizability: The CapsuleNet-ResNet fusion model exhibits robustness across diverse datasets, variations in imaging conditions, and different stages of lung cancer. Leveraging the complementary strengths of CapsuleNet and ResNet, the model generalizes well to unseen data, reflecting its potential applicability in real-world clinical scenarios. This robustness and generalizability contribute to the model's reliability and effectiveness in various clinical settings.

Comparative Performance Advantages: Comparison against existing state-of-the-art methods and individual architectures, including CapsuleNet and ResNet, demonstrates the superior performance of the CapsuleNet-ResNet fusion model. Key metrics such as accuracy, precision, recall, F1-score, and AUC-ROC highlight the model's advantages in terms of detection efficacy, demonstrating its potential as a reliable tool for lung cancer detection.

#### 7. Conclusion

In conclusion, the CapsuleNet-ResNet fusion model offers a promising approach for enhancing lung cancer detection accuracy. Its ability to combine hierarchical feature extraction with intricate pattern recognition results in improved sensitivity, specificity, robustness, generalizability, and overall performance compared to existing methodologies. With further validation and integration into clinical practice, the fusion model holds the potential to significantly impact patient care by enabling earlier detection and more effective treatment of lung cancer.

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#### **Conflicts of Interest**

The authors declare no conflicts of interest.

Anum Kamal: Conceptualization, Methodology, Software, Field study, Data curation, Writing-Original draft preparation, Software, Validation., Field study Faiyaz Ahamad: Visualization, Investigation, Writing-Reviewing and Editing.